

Data protection notice – Whistleblowing Hotline

Stand: September 2020

Information according to articles 13, 14 and 21 of the General Data Protection Regulation (GDPR)

The following information provides you with an overview of how we process your personal data within the Whistleblowing Hotline and of the rights you have under these data protection rules.

1. Name and contact details of the controller and/or the company's data protection officer

This data protection notice applies to the processing of data by Dürr Aktiengesellschaft, Carl-Benz-Str. 34, 74321 Bietigheim-Bissingen. You can send any questions or requests on the subject of data protection to the data protection officer of Dürr AG, who can be contacted by email at [dataprotection\[at\]durr.com](mailto:dataprotection[at]durr.com).

2. Collection and storage of personal data as well as type, purpose and use of such data

The whistleblowing system is used to receive and process your reports in a secure and confidential way.

The processing of personal data within the whistleblowing hotline is based on the legitimate interest of the Dürr Group in the detection and prevention of grievances and the associated prevention of damage and liability risks for the Dürr Group (Art. 6 Para. 1 lit. f GDPR in conjunction with §§ 30, 130 OWiG). A.2 of the German Corporate Governance Code also requires the establishment of a whistleblowing hotline in order to give employees and third parties the opportunity to provide information about legal violations in the company in a secured and suitable manner.

If the received information concerns an employee of the Dürr Group, the processing also serves to prevent criminal offenses or other legal violations related to the employment relationship (Section 26 (1) BDSG).

The personal data we collect will be stored until the above-mentioned purposes no longer apply, after which it will be deleted, unless we are legally obliged, according to Art. 6(1)(1)(c) of the GDPR, to store such data for a longer period due to legal safekeeping and documentation requirements (e.g. German Commercial Code, Criminal Code or Tax Code) or unless you have given your consent for extended storage according to Art. 6(1)(1)(a) of the GDPR.

3. Type of personal data collected

The whistleblowing system is used on a voluntary basis. We collect the following personal data and information when you submit a report via the whistleblowing hotline:

- your name if you reveal your identity,
- Whether you are employed by the Dürr Group, provided that you state this in the report and, if applicable, names of persons and other personal data of the persons you name in your report.

4. Confidential treatment of information

Incoming information is received by a small group of authorized and specially trained employees of the Compliance and Internal Audit department of Dürr AG and is always treated confidentially. The employees of the Compliance and the Internal Audit department of Dürr AG examine the facts and, if necessary, carry out further case-related clarifications.

Everyone who has access to the data is obliged to maintain confidentiality.

5. Sharing of data with third parties

We will not share your personal data with third parties for any purposes other than those listed below.

Personal data and information entered into the whistleblowing hotline are stored in a database operated by an external service provider. The data can only be viewed by Dürr AG.

During the processing of a report or as part of a special investigation, it may be necessary to forward reports to external third parties or other employees of Dürr AG or employees of other Dürr group companies, e.g. if the reports relate to activities in subsidiaries.

Some of the above-mentioned recipients of the data transfer and their service providers are based outside the European Economic Area (EEA) or process your personal data outside the EEA. It is possible that the data protection rules in countries outside the EEA are different from those in your country of residence. In case your personal data is transferred into other countries as described above, we will take measures to ensure adequate protection of your personal data in those countries. To this end, we will, for example, agree on contractual base of standard contractual clauses

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(2010/87/EC and/or 2001/497/EC). These can be made available by sending a request using the above-mentioned contact details for inspection.

6. Rights of the data subjects

You have the right:

- to withdraw, at any time, the consent you have given to us, according to Art. 7(3) of the GDPR. This means we will no longer be able to continue the data processing that was based on this consent;
- to request information on your personal data processed by us, according to Art. 15 of the GDPR. You may request information, in particular, on the purposes of the processing; the category of the personal data; the categories of recipients to whom the personal data has been or will be disclosed; the envisaged period of storage; the existence of a right to request rectification, erasure, restriction of processing or of a right to object; the existence of a right to lodge a complaint; the source of your data if it was not collected by us; and the existence of automated decision-making, including profiling, and, where applicable, meaningful information about their details;
- to request, according to Art. 16 of the GDPR, the immediate rectification of inaccurate personal data or the completion of incomplete personal data stored by us;
- to request, according to Art. 17 of the GDPR, the erasure of your personal data stored by us, unless the processing of such data is required for exercising the right of freedom of expression and information, for compliance with a legal obligation, for reasons of public interest or for the establishment, exercise or defense of legal claims;
- to request, according to Art. 18 of the GDPR, the restriction of processing of your personal data if you contest the accuracy of the personal data, if the processing is unlawful but you oppose its erasure and we no longer require the data but you require it for the establishment, exercise or defense of legal claims, or if you have objected to the processing according to Art. 21 of the GDPR;
- to receive, according to Art. 20 of the GDPR, your personal data, which you have provided to us, in a structured, commonly used and machine-readable format or to request the transmission of such data to another controller; and
- to lodge a complaint with a supervisory authority according to Art. 77 of the GDPR. To this end, you can usually contact the supervisory authority of your habitual residence or place of work or of our registered office.

7. Utilization of the Whistleblowing Hotline

The communication between your computer and the whistleblowing hotline is done with an encrypted connection. The IP address of your computer is not saved while using the whistleblowing hotline. To maintain the connection between your computer and the whistleblowing system, a cookie is stored on your computer, which is only valid until the end of your session and until you close your browser.

8. Right to object

Where your personal data is processed on the basis of legitimate interests according to Art. 6(1)(1)(f) of the GDPR, you have the right, according to Art. 21 of the GDPR, to object to the processing of your personal data on grounds relating to your particular situation. Following your objection, we will no longer process such personal data, unless we can demonstrate compelling legitimate grounds for the processing which override your interests, rights and freedoms of the data subject or if such data is processed for the establishment, exercise or defense of legal claims.

If you would like to exercise your right to object, please send an email to the specified contact person for data protection of the respective company of the Dürr Group where you have applied for as stated in the attachment.

9. Notes on sending attachments

When submitting a report or supplementary information, you have the option of sending attachments to Dürr AG. If you want to submit a report anonymously, please observe the following security information: Files may contain hidden personal data which might endanger your anonymity. Remove these data before submission. If you are unable to remove these data or are unsure of how to do so, copy the text of the attachment into your report text.